

sector 1/18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Ser. No.: 09/466,627

Group Art Unit: 2755

Filing Date: 12/17/99

Examiner: ?

Attorney Docket Number YO999-429

Inventor Name(s): LO ET AL.

Title: METHOD AND APPARATUS FOR CONVERTING BETWEEN DATA SETS AND XML DOCUMENTS

Honorable Commissioner of Patents And Trademarks
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Applicants are in receipt of a notice to file missing parts in the above-identified application. This notice has been issued in error. The notice alleges that the signed declaration of the inventors is missing. This is not true. A signed declaration was submitted with the application AND a postcard receipt from the PTO proves that the declaration was received. Withdrawal of the notice to file missing parts is accordingly respectfully requested. Enclosed please find copies of:

- the signed declaration of the inventors;
- the postcard receipt proving receipt by the PTO of the signed declaration; and
- form PTO-1533.

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited this date with the United States Postal Service as first class mail in an envelope addressed to	
Commissioner of Patents and Trademarks Washington, D.C. 20231	
On <u>3/30/00</u>	(date)
By <u>A. Barschall</u>	(signature)

Respectfully submitted,

NO ACC. # to charge the fee

By A. Barschall
Anne E. Barschall, Reg. No. 31,089
Tel. no. 914-332-1019
March 30, 2000



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/466,627

12/17/99

LO

M

Y0999-429

ANNE E BARSCHALL
80 BENEDICT AVENUE
TARRYTOWN NY 10591

0232/0202



2755

DATE MAILED:

02/02/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

☐ missing

☐ insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202



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FROM: (PLEASE PRINT) WAYNE L. ELLENBOGEN IBM CORPORATION INTELLECTUAL PROPERTY LAW PO BOX 218 YORKTOWN HEIGHTS NY 10598-0218 L Y0999-429			TO: (PLEASE PRINT) COMMISSIONER OF PATENT & TRADEMARKS WASHINGTON, DC 20231		

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70/ 100

F0:

Express Mail Label: EL532335052US Patent Application & Trans.

Date of Deposit: December 17, '99 Drawings

Assignment & Recordation

Declaration & Power of Atty.

IDS w/Reference&Asso.Power

PLEASE STAMP & RETURN TO US

In re application of: Ming-Ling Lo et al

For: METHOD AND APPARATUS FOR CONVERTING BETWEEN DATA SETS AND XML DOCUMENTS

Serial No.: ; Packet No.: Y0999-429 Atty.: WLE

Received in the U.S. Patent & Trademark Office:

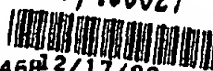
No. of pages of specification 44; No. of pages of claims 19

No. of sheets of drawings: 11

Declaration is attached to specification.

All fees are charged to our Account No. 09-04682/17/99

1068 U.S. PTO
09/466627



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